

ComplianceOne® assumptions Document List

Document Name	Package Upfront	Package Processing	Package Pre Closing	Package Closing	Package Post Closing	Package Adverse Action	Recordable	Jurisdiction	Document Category
Acknowledgment of Fair Market Value of Homestead Property-TX				X			No	TX	Required Ancillary Documents
Addendum to Loan Application Homestead	X						No	Multistate	Applications
Adjustable Rate Mortgage Notice of Rate Change					X		No	Multistate	Federal Disclosures - Regulation Z
Affidavit of Market Value-GA				X			No	GA	Required Ancillary Documents
Affidavit of Mortgagor-NJ				X			No	NJ	Required Ancillary Documents
Affidavit of Occupancy				X			No	Multistate	
Affidavit Section22-NY				X			No	NY	Required Ancillary Documents
Affiliated Business Arrangement Disclosure	X						No	Multistate	
Agent Certification Of Continuing Power Of Attorney	X	X		X			No	Multistate	Miscellaneous
Agent's Certification and Acceptance of Authority	X	X		X			No	IL	Miscellaneous
Agreement to Provide Insurance		X		X			No	Multistate	
Allonge to Endorse Note				X	X		No	Multistate	Miscellaneous
Alternative Payment Schedule Disclosure-NY	X			X	X		No	Multistate	
Anti Coercion Disclosure-FL	X						No	FL	Insurance
Application Disclosure	X						No	GA, MO, UT, WI	Required Ancillary Documents
Application Disclosures-CT	X						No	CT	Disclosure
Application Fees Disclosure-NJ	X						No	NJ	Disclosure
Application Summary Report	X						No	Multistate	Applications
Appraisal Fee Disclosure-RI	X						No	RI	Required Ancillary Documents
Appraisal Report Delivery Waiver	X	X					No	Multistate	Miscellaneous
Appraisals Receipt		X					No	Multistate	Miscellaneous
Arbitration Agreement				X			No	Multistate	Miscellaneous
Arbitration Agreement-DC				X			No	DC	Miscellaneous
Assignment Leases and Rents				X			No	Multistate	Security Documents
Assignment of Real Estate Security Instrument				X			Yes	Multistate	Security Documents
Assumption Agreement				X			No	Multistate	Agreement
Assumption Disclosure-VA	X						No	VA	Disclosure
Attorney Insurance Preference Form-SC	X						No	SC	Required Ancillary Documents
Attorney Insurance Preference Notice-NC	X						No	NC	Required Ancillary Documents
Attorney Preference Notice	X	X					No	GA, AR	Required Ancillary Documents
AttorneyDisclosure-NJ	X	X					No	NJ	Disclosures and Notices
Authorization				X			No	Multistate	
Authorization for Authorized Payments				X	X		No	Multistate	Miscellaneous
Authorization for Lender to Obtain Insurance-NM				X			No	NM	Insurance
Authorization for Payoff				X			No	Multistate	
Authorization For Release Of Financial Information		X					No	Multistate	
Authorization to Release SSN Verification	X	X					No	Multistate	Miscellaneous
Automatic Transfer Authorization Plain Language				X	X		No	Multistate	Miscellaneous
Balloon Disclosure-NY	X			X			No	NY	Required Ancillary Documents
Balloon Payment Disclosure-MD	X	X					No	MD	Required Ancillary Documents
Balloon Payment Mortgage Disclosure	X						No	Multistate	Applications
Borrower Certification and Authorization	X			X			No	Multistate	Miscellaneous
Borrower Notice-KS	X						No	KS	Shared - State
Borrower Opt In Disclosure-MA		X	X	X			No	MA	Disclosures
Borrowers Acknowledgment of Disbursement of Loan Funds-FL				X	X		No	FL	Proprietary
Borrowers Acknowledgment of Disclosures-GA				X			No	GA	State
Borrowers Signature Authorization	X						No	Multistate	Verification
Cash Flow Analysis		X					No	Multistate	
Certificate of Foreign Status of Beneficial Owner				X	X		No	Multistate	Tax Forms
Certificate of Foreign Status of Beneficial Owner Entity				X	X		No	Multistate	Tax Forms

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Certificate of Trust-SD				X			No	SD	Security Documents
Certification and Indemnification of Smoke Detectors-MA				X			No	MA	Proprietary
Certification of Name and Address				X			No	Multistate	Miscellaneous
Changed Circumstances		X					No	Multistate	Worksheet
Choice of Insurance Notice	X	X		X			No	AR, VA, AL, KY, MO, MT, WI, AK, AZ, CA, CO, CT, DE, DC, FL, GA, HI, ID, IN, IL, IA, KS, LA, ME, MD, MA, MI, MN, MS, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, WA, WV, WY	Insurance
Choice of Insurance Notice-AL							No	AL	Notices
Choice of Settlement Agent Notice-VA	X						No	VA	Required Ancillary Documents
Closing Attorney Fee Certification MD				X			No	MD	
Closing Disclosure				X	X		No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Closing Disclosure Seller Only				X	X		No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Closing Disclosure Seller Only Addendum				X	X		No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Closing Disclosure-Addendum				X	X		No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Collateral Protection Insurance Disclosure-TX				X			No	TX	Insurance
Collateral Protection Insurance Notice Subsequent				X			No	Multistate	Insurance
Collateral Protection Insurance Notice-IL				X			No	IL	Insurance
Collateral Protection Insurance Notice-MI				X			No	MI	Insurance
Collateral Protection Insurance Notice-MO				X			No	MO	Insurance
Collateral Protection Insurance Notice-MS				X			No	MS	Insurance
Collateral Protection Insurance Notice-OR				X			No	OR	Insurance
Collateral Protection Insurance Notice-WA				X			No	WA	Insurance
Collateral Protection Insurance Notice-WV				X			No	WV	Insurance
Commercially Reasonable Means or Mechanism Worksheet-NV	X	X		X			No	NV	Required Ancillary Documents
Completion Certificate for Home Improvements-IL				X			No	IL	Real Estate Related
Condominium Project Questionnaires		X					No	Multistate	Agency - Miscellaneous
Consent and Estoppel Letter		X		X			No	Multistate	Notices
Consent to the Use of Tax Return Information	X	X		X			No	Multistate	
Consumer Caution and Home Ownership Counseling Notice-CA	X	X		X			No	CA	Required Ancillary Documents
Consumer Credit Report-NY	X	X					No	NY	Miscellaneous
Consumer Handbook on Adjustable Rate Mortgages	X						No	Multistate	Required Ancillary Documents
Consumer Handbook on Adjustable Rate Mortgages Spanish	X						No	Multistate	Required Ancillary Documents
Continuation Sheet for Verification of A742		X					No	Multistate	
Continuation Sheet for Verification of Stock Securities		X					No	Multistate	
CoSigner Notice Federal				X			No	Multistate	Security Documents
Cosigner Notice Federal No Garnish				X			No	PA, TX	Security Documents
Cosigner Notice-CA				X			No	CA	Required Ancillary Documents
Cosigner Notice-IA				X			No	IA	Required Ancillary Documents
Cosigner Notice-NY				X			No	NY	Required Ancillary Documents
Cosigner Notice-SC				X			No	SC	Required Ancillary Documents
Counseling Notice-MD	X	X					No	MD	Disclosures and Notices
CoverSheet-NV				X			No	NV	Real Estate Related
COVID19 Attestation of Expected Ability to Pay				X			No	Multistate	Miscellaneous
COVID-19 Emergency Suspended Payment Forbearance Plan					X		No	Multistate	Servicing

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COVID-19 Notice About Waiting Periods	X						No	Multistate	Notices
Credit Report Authorization	X						No	Multistate	Authorizations
Credit Report Authorization	X	X		X			No	CA	
Credit Score Disclosure-CA	X	X		X			No	CA	State
Customers Right to Substitute Property or Liability Insurance				X			No	Multistate	
Demographic Information Addendum	X			X			No	Multistate	Applications
Designation of Homestead-NE				X			Yes	NE	Miscellaneous
Designation of Homestead and Affidavit of Non Homestead-TX				X			Yes	TX	
Disbursement Authorization				X			No	Multistate	
Disbursers Notice-CO				X			No	CO	Real Estate Related
Disclaimer of Oral Agreements-NE				X			No	NE	Required Ancillary Documents
Disclaimer of Oral Agreements-UT				X			No	UT	Required Ancillary Documents
Disclaimer of Right to Designate Homestead-NE				X			Yes	NE	Security Documents
Disclosure Notices	X						No	Multistate	Proprietary
Disclosure of Credit Score Information	X						No	Multistate	Applications
Disclosure Of Servicer - UT				X			No	UT	
Disclosure Regarding Review of Loan Documentation-TX	X	X		X			No	TX	Required Ancillary Documents
Dishonored Check Fee Disclosure-OK				X			No	OK	
Energy Efficient Mortgage Worksheet		X					No	Multistate	
Energy Efficient Premium Table		X					No	Multistate	
Errors And Omissions Agreement				X			No	Multistate	
Escrow Account Disclosure				X			No	Multistate	Required Ancillary Documents
Escrow Account Disclosure-CA	X	X		X			No	CA	Required Ancillary Documents
Escrow Account Disclosure-IL				X			No	IL	Required Ancillary Documents
Escrow Account Disclosure-MN	X						No	MN	Required Ancillary Documents
Escrow Account Notice				X			No	Multistate	Notices
Escrow Account Option Notice-OR	X						No	OR	
Escrow Account Waiver Disclosure-MD	X	X					No	MD	
Escrow Closing Notice					X		No	Multistate	Notices
Escrow Instructions				X			No	Multistate	
Escrow Waiver Notice				X			No	Multistate	
Explanation of Personal Obligation-WI				X			No	WI	
Fair Housing Notice-KY	X						No	KY	Required Ancillary Documents
Fair Lending Notice-CA	X						No	CA	Required Ancillary Documents
Fannie Mae Freddie Mac 1103 Supplemental Consumer	X						No	Multistate	Notes
Fannie Mae Release of Liability Denial Notice		X					No	Multistate	Notices
Federal Sale of Insurance Disclosure		X		X			No	Multistate	
FHA Amendatory Clause	X						No	Multistate	
FHA for Your Protection Get a Home Inspection	X						No	Multistate	
FHA HUD Notice to Borrower				X			No	Multistate	Notices
FHA Identity of Interest Certification	X						No	Multistate	
FHA Informed Consumer Choice Disclosure Notice	X						No	Multistate	
FHA Lender Certification	X						No	Multistate	
FHA Lender Certification for Individual Condominium Units		X					No	Multistate	
First Payment Letter				X			No	Multistate	Miscellaneous
Flood Insurance Coverage Disclosure				X			No	Multistate	Disclosures
Flood Insurance Disclosure HE-MA	X						No	MA	Disclosures
Flood Insurer Preference-SC	X						No	SC	
Foreclosure Disclosure-GA				X			No	GA	Disclosures
Freedom to Choose Insurance Company and Insurance Professional-NM	X			X			No	NM	Insurance

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Future Advance Dragnet Closure Letter				X			No	FL, GA	
Government Monitoring Information	X			X			No	Multistate	Applications
Guaranty Consumer				X			No	NOT AK, AZ, CA, DE, KY, LA, MO, NJ, PA, SC, TX, WI	Miscellaneous
Guaranty Consumer-CA				X			No	CA	Miscellaneous
High Cost Counseling Notice-CT	X						No	CT	Required Ancillary Documents
High Cost Counseling Notice-MD	X						No	MD	Required Ancillary Documents
High Cost Counseling Notice-NY	X						No	NY	Required Ancillary Documents
High Cost Counseling Notice-OH	X	X		X			No	OH	Required Ancillary Documents
High Cost Counseling Notice-RI	X	X					No	RI	Required Ancillary Documents
High Cost Counseling Notice-TN	X						No	TN	Required Ancillary Documents
High Cost Home Loan Notice to Borrower-IN	X	X		X			No	IN	Shared - State
High Cost Home Loan Notice to Purchasers and Assignees-IN				X	X		No	IN	Shared - State
High Cost Loan Application Disclosure	X	X					No	MA, NY	Required Ancillary Documents
High Cost Loan Disclosure-CO	X	X		X			No	CO	Required Ancillary Documents
High Cost Loan Disclosure-DC	X	X		X			No	DC	Required Ancillary Documents
High Cost Loan Disclosure-KY	X	X		X			No	KY	Required Ancillary Documents
High Cost Loan Disclosure-NJ	X	X		X			No	NJ	Required Ancillary Documents
High Cost Loan Disclosure-NM	X	X		X			No	NM	Required Ancillary Documents
High Cost Loan Disclosure-NY	X						No	NY	Required Ancillary Documents
High Cost Loan Disclosure-RI	X	X					No	RI	Required Ancillary Documents
High Cost Loan Disclosure-TN	X	X		X			No	TN	Required Ancillary Documents
High Cost Loan Insurance Disclosure-MA	X			X			No	MA	Required Ancillary Documents
High Cost Loan Notice-PA	X	X		X			No	PA	
High Cost Loan Payment Disclosure	X						No	MA, NY	Required Ancillary Documents
High Rate High Point Disclosure-VT	X						No	VT	Required Ancillary Documents
High Risk Home Loan Notice to Borrower-IL	X	X					No	IL	
HOA Authorization Release of Information		X					No	Multistate	Required Ancillary Documents
Hold Harmless Agreement	X			X			No	Multistate	Miscellaneous
Home Equity Affidavit and Agreement Refinance of Existing HE Loan-TX				X			Yes	TX	Required Ancillary Documents
Home Equity Closing Affidavit and Agreement-TX				X			Yes	TX	Required Ancillary Documents
Home Equity Notice Concerning Refinance of Existing HE Loan Spanish-TX	X	X					No	TX	Required Ancillary Documents
Home Equity Notice Concerning Refinance of Existing HE Loan-TX	X	X					No	TX	Required Ancillary Documents
Home Equity Notice-TX	X	X					No	TX	Required Ancillary Documents
Home Equity Notice-TX Spanish	X	X					No	TX	Required Ancillary Documents
Home Equity Tax Advice Waiver				X			No	Multistate	Miscellaneous
Home Equity Terms and Conditions Agreement Concerning Refinance-TX				X			No	TX	State
Home Equity Terms and Conditions Agreement-TX	X	X					No	TX	State
Homeownership Protection Center Notification to Homeowners-KY				X			No	KY	
Housing Counseling Agencies Notice	X						No	Multistate	Federal Disclosures
How to Make Your Mortgage Payments				X			No	Multistate	Federal Disclosures
HUD 92005 Veterans Affairs 26 1852 Description of Materials		X					No	Multistate	Required Ancillary Documents
HUD 92210 Approval of Purchaser and Release of Seller				X			No	Multistate	Notices
HUD92800.5b Conditional Commitment		X					No	Multistate	
HUD 92900 LT Loan Underwriting and Transmittal Summary		X					No	Multistate	
HUD 92900-A Addendum To Uniform Residential Loan App	X						No	Multistate	Applications
HUD 92900A Addendum to Uniform Residential Loan Application				X			No	Multistate	Applications
HUD 92900LT Loan Underwriting and Transmittal Summary Continuation Page		X					No	Multistate	Credit Worthiness Documents
HUD 9991 Condominium Approval Questionnaire	X						No	Multistate	Miscellaneous
HUD Appraised Value Disclosure		X					No	Multistate	Agency - Required Ancillary Disclosures

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HUD Energy Efficient Mortgage Disclosure	X						No	Multistate	
HUD FHA Notice to Homeowner Assumption	X			X			No	Multistate	Agency - Assumptions
HUD92210 Request For Credit Approval Of Substitute Mortgagor Identification Affidavit	X	X		X			No	Multistate	Verification
Important Applicant Information	X						No	Multistate	
Important Notice to Homebuyers	X						No	Multistate	
Impound Disclosure Waiver-CA	X	X		X			No	CA	Required Ancillary Documents
Initial Interest Rate Adjustment Notice				X			No	Multistate	Federal Disclosures
Initial Tax Authorization Notice-NJ				X			No	NJ	
Insurance Disclosure-AR	X	X		X			No	AR	Insurance
Insurance Disclosure-IN	X						No	IN	Insurance
Insurance Disclosure-KY	X	X		X			No	KY	Insurance
Insurance Disclosure-NY		X		X			No	NY	Insurance
Insurance Disclosure-RI	X						No	RI	Insurance
Insurance Disclosure-TN	X						No	TN	Insurance
Insurance Disclosure-WV		X		X			No	WV	Insurance
Insurance Notice (Policy Limit)-CA	X						No	CA	Insurance
Insurance Notice-FL				X			No	FL	
Insurance Notice-OH	X	X					No	OH	Notices
Interest Rate Disclosure-NY	X						No	NY	Required Ancillary Documents
IRS 1099S Proceeds from Real Estate Transactions				X			No	Multistate	
IRS W9 Request for Taxpayer Identification Number and Certification				X			No	Multistate	
IRS1099SSU-Cert For No Interest Reporting				X			No	Multistate	Tax Forms
Junior Lien Disclosure-NY	X						No	NY	Disclosures and Notices
Language Translation Disclosure	X						No	Multistate	
Late Charge Notice-OR	X			X			No	OR	Required Ancillary Documents
Lender and Originator Disclosures-MD				X			Yes	MD	Security Documents
Lien Law Rider to Building Loan Contract-NY				X	X		No	NY	Proprietary
Lien Subordination Agreement Personal Property				X			No	Multistate	Miscellaneous
Limited Documentation Disclosure-MA	X						No	MA	Miscellaneous
Loan Agreement Addendum-TX				X			No	TX	
Loan Agreement Disclaimer-MN	X	X					No	MN	Required Ancillary Documents
Loan Counseling Notice-NY	X						No	NY	Required Ancillary Documents
Loan Estimate	X	X					No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Loan Estimate Addendum	X	X					No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Loan Information Report				X			No	Multistate	Other
Loan Product Choice Disclosure WI	X						No	WI	Proprietary
Loan Product Choice Disclosure-CO-M2	X						No	CO	Disclosures
Manufactured Housing Title Application-CA				X			No	CA	Title Application
Manufactured Housing Title Application-MI				X			No	MI	Title Application
Manufactured Housing Title Application-NE				X			No	NE	Title Application
Manufactured Housing Title Application-TX				X			No	TX	Title Application
Manufactured Housing Title Application-WA				X			No	WA	Title Application
Manufactured Housing Title Application-WI				X			No	WI	Title Application
Mortgage Awareness Program Notice-IL	X						No	IL	Required Ancillary Documents
Mortgage Awareness Program Waiver-IL		X		X			No	IL	Required Ancillary Documents
Mortgage Disclosure Regulation Z32	X	X					No	Multistate	Federal Disclosures
Mortgage Fraud Notice	X						No	Multistate	
Mortgage Fraud Notice-TX				X			No	TX	Required Ancillary Documents
Mortgage Interest Statement 1098					X		No	Multistate	

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Mortgage Loan Commitment	X	X	X				No	All except TX	
Mortgage Loan Disclosure-MA	X						No	MA	Real Estate Related
Mortgage Loan Disclosure-MI	X						No	MI	Disclosures and Notices
Mortgage Loan Sale or Assignment Information-UT	X						No	UT	
Mortgage Review Letter		X					No	MA	
Motor Vehicle Title Application-FL				X	X		No	FL	Title Documents
Motor Vehicle Notice Of Lien (FL)				X	X		No	FL	Title Documents
Motor Vehicle Power of Attorney-AL				X			No	AL	Title Documents
Motor Vehicle Power of Attorney-AZ				X			No	AZ	Title Documents
Motor Vehicle Power of Attorney-DE				X			No	DE	Title Documents
Motor Vehicle Power of Attorney-FL				X			No	FL	Title Documents
Motor Vehicle Power Of Attorney-GA				X	X		No	GA	Title Documents
Motor Vehicle Power of Attorney-MI				X			No	MI	Title Documents
Motor Vehicle Power of Attorney-TN				X			No	TN	Title Documents
Motor Vehicle Power of Attorney-TX				X			No	TX	Title Documents
Motor Vehicle Security Interest Processing-MT				X	X		No	MT	Title Documents
Motor Vehicle Title Application-AK				X	X		No	AK	Title Documents
Motor Vehicle Title Application-AL				X	X		No	AL	Title Documents
Motor Vehicle Title Application-AR				X	X		No	AR	Title Documents
Motor Vehicle Title Application-AZ				X	X		No	AZ	Title Documents
Motor Vehicle Title Application-CO				X	X		No	CO	Title Documents
Motor Vehicle Title Application-DC	X			X	X		No	DC	Title Documents
Motor Vehicle Title Application-GA				X	X		No	GA	Title Documents
Motor Vehicle Title Application-IA				X	X		No	IA	Title Documents
Motor Vehicle Title Application-ID				X	X		No	ID	Title Documents
Motor Vehicle Title Application-IN				X	X		No	IN	Title Documents
Motor Vehicle Title Application-KS				X	X		No	KS	Title Documents
Motor Vehicle Title Application-KY				X	X		No	KY	Title Documents
Motor Vehicle Title Application-LA				X	X		No	LA	Title Documents
Motor Vehicle Title Application-MD				X	X		No	MD	Title Documents
Motor Vehicle Title Application-MN				X	X		No	MN	Title Documents
Motor Vehicle Title Application-MO				X	X		No	MO	Title Documents
Motor Vehicle Title Application-MT				X	X		No	MT	Title Documents
Motor Vehicle Title Application-NC				X	X		No	NC	Title Documents
Motor Vehicle Title Application-ND				X	X		No	ND	Title Documents
Motor Vehicle Title Application-NE				X	X		No	NE	Title Documents
Motor Vehicle Title Application-NJ				X	X		No	NJ	Title Documents
Motor Vehicle Title Application-NM				X	X		No	NM	Title Documents
Motor Vehicle Title Application-NY				X	X		No	NY	Title Documents
Motor Vehicle Title Application-OK				X	X		No	OK	Title Documents
Motor Vehicle Title Application-RI				X	X		No	RI	Title Documents
Motor Vehicle Title Application-SC				X	X		No	SC	Title Documents
Motor Vehicle Title Application-SD				X	X		No	SD	Title Documents
Motor Vehicle Title Application-TN				X	X		No	TN	Title Documents
Motor Vehicle Title Application-UT				X	X		No	UT	Title Documents
Motor Vehicle Title Application-VA				X	X		No	VA	Title Documents
Motor Vehicle Title Application-WI				X	X		No	WI	Title Documents
Motor Vehicle Title Application-WV				X	X		No	WV	Title Documents
Motor Vehicle Title Application-WY				X	X		No	WY	Title Documents
Motor Vehicle Title Notice-KS				X	X		No	KS	Title Documents

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Document Name	Package Upfront	Package Processing	Package Pre Closing	Package Closing	Package Post Closing	Package Adverse Action	Recordable	Jurisdiction	Document Category
Motor Vehicle Title Notice-MN				X	X		No	MN	Title Documents
Motor Vehicle Title Notice-NY				X	X		No	NY	Title Documents
Motor Vehicle Title Notice-PA				X	X		No	PA	Title Documents
Motor Vehicle Title Notice-WV				X	X		No	WV	Title Documents
Motor Vehicle Title Notification-DE				X	X		No	DE	Title Documents
Motor Vehicle Title Notification-IA				X	X		No	IA	Title Documents
Motor Vehicle Title Notification-ID				X	X		No	ID	Title Documents
Motor Vehicle Title Notification-KS				X	X		No	KS	Title Documents
Motor Vehicle Title Notification-KY				X	X		No	KY	Title Documents
Motor Vehicle Title Notification-MD				X	X		No	MD	Title Documents
Motor Vehicle Title Notification-ME				X	X		No	ME	Title Documents
Motor Vehicle Title Notification-MN				X	X		No	MN	Title Documents
Motor Vehicle Title Notification-MT				X	X		No	MT	Title Documents
Motor Vehicle Title Notification-NC				X	X		No	NC	Title Documents
Motor Vehicle Title Notification-NY				X	X		No	NY	Title Documents
Motor Vehicle Title Notification-RI				X	X		No	RI	Title Documents
Motor Vehicle Title Notification-VA				X	X		No	VA	Title Documents
Motor Vehicle Title Notification-WV				X	X		No	WV	Title Documents
Motor Vehicle Transitional Ownership-WY				X	X		No	WY	Title Documents
Net Proceeds Authorization-MD				X			No	MD	
Non Agency Disclosure-MN	X						No	MN	
Non Refundability of Loan Fees Notice-RI	X						No	RI	Required Ancillary Documents
Non Refundable Fee Disclosure	X						No	Multistate	Shared - Miscellaneous
Notice and Acknowledgement with Respect to Owners Title Insurance-TN	X						No	TN	Notices
Notice Of Action Taken	X	X				X	No	Multistate	
Notice of Agency to Receive Complaints-SC	X						No	SC	Required Ancillary Documents
Notice of Attorney Services-MD				X			No	MD	
Notice of Borrowers Right to Select Attorney-MD	X						No	MD	
Notice of Change in Status of Tax Escrow Accounts-NY				X	X		No	NY	
Notice of Covered Loan-DC				X	X		No	DC	Required Ancillary Documents
Notice of Information-NC	X						No	NC	
Notice of Intent to Apply for Joint Credit	X						No	Multistate	Regulation B
Notice of Intent to Proceed	X	X	X				No	Multistate	Notices
Notice of Intent to Release-VA					X		No	VA	
Notice of Lending Lender Making Advances-NY				X	X		No	NY	Miscellaneous
Notice of Lending Lender Receiving Advances-NY				X	X		No	NY	Miscellaneous
Notice of Lien				X			No	OK	
Notice of Limitation				X			Yes	FL, KY, OH	
Notice of Material Change in Loan Terms-KY		X					No	KY	Required Ancillary Documents
Notice of Negative Information After-sharing					X		No	Multistate	
Notice of Negative Information Pre-sharing				X			No	Multistate	
Notice of Right to Cancel Mortgage Insurance-CA				X			No	CA	Insurance
Notice of Right to Cancel Optional Insurance (WI)				X			No	WI	
Notice of Right to Declare Homestead-MA				X			No	MA	State
Notice of Right to Receive Copy of Appraisal	X	X					No	Multistate	Federal Disclosures
Notice of Right to Receive Copy of Appraisal Subordinate-CA	X	X					No	CA	Federal Disclosures
Notice Of Right To Rescind-MD	X	X					No	MD	Miscellaneous
Notice of Secondary Lien-VT				X			Yes	VT	Notices
Notice of Servicing Transfer				X	X		No	All except AR, MN	Real Estate Settlement Procedures Act RESPA
Notice Of Servicing Transfer-AR				X	X		No	AR	Real Estate Settlement Procedures Act RESPA

ComplianceOne® assumptions Document List

Document Name	Package Upfront	Package Processing	Package Pre Closing	Package Closing	Package Post Closing	Package Adverse Action	Recordable	Jurisdiction	Document Category
Notice Of Servicing Transfer-MN				X	X		No	MN	Real Estate Settlement Procedures Act RESPA
Notice of Sold or Transfer of Mortgage Loan Closed End					X		No	Multistate	
Notice of Special Flood Hazards		X					No	Multistate	
Notice Regarding Collateral Protection Insurance Coverage-NJ				X			No	NJ	Insurance
Notice Regarding Inaccurate Information				X			No	Multistate	
Notice Regarding Legal Counsel Review-TX			X				No	TX	Shared - State
Notice Regarding Title Protection Documents-OK	X						No	OK	Required Ancillary Documents
Notice Regarding Translation Spanish	X	X	X	X	X	X	No	Multistate	
Notice to Applicants Regarding Earthquake Insurance-CA	X						No	CA	Insurance
Notice to Assignee				X	X		No	Multistate	Federal Disclosures
Notice to Borrower About Optional Property Tax Payments-MO				X			No	MO	Required Ancillary Documents
Notice To Borrower Not In Special Flood Hazard			X				No	Multistate	Federal Disclosures/Flood Insurance
Notice to Borrower-IN	X						No	IN	
Notice to Borrower-OK	X	X		X			No	OK	
Notice to Home Loan Applicant	X	X		X			No	Multistate	
Notice to Home Loan Applicant-CA	X	X					No	CA	Required Ancillary Documents
Notice To Purchaser Mortgagor-FL	X			X			No	FL	Required Ancillary Documents
Notice To Settlement Agents				X			No	Multistate	Agency - Required Ancillary Disclosures
Notice To VA Loan Applicants-ND	X						No	ND	Shared - State
Notice to VA Loan Borrowers	X						No	Multistate	Notices
Notification to Buyer-RI				X			No	RI	Shared - State
Notification to Virginia Mortgage Loan Applicants	X						No	VA	Required Ancillary Documents
Occupancy Affidavit and Financial Status				X			No	Multistate	Affidavit
Occupancy Agreement				X			No	Multistate	Miscellaneous
Owners Title Insurance Coverage Notice				X			No	VA	Required Ancillary Documents
Owners Title Insurance Disclosure-NV	X						No	NV	Notices
Partial Release of Real Estate Security Instrument					X		Yes	Multistate	
Party Information Report	X	X					No	Multistate	Other
Payment Disclosure-CO				X			No	CO	Required Ancillary Documents
Payment Reduction Fee Disclosure-IA	X						No	IA	Applications
Personal Financial Statement	X	X		X			No	Multistate	Applications
Personal Financial Statement Supplement Overflow	X	X		X			No	Multistate	Applications
Possession of Abstract (MN)				X			No	MN	
Prepayment Penalty Disclosure-RI	X			X			No	RI	Disclosure
Private Mortgage Insurance Disclosure				X			No	Multistate	Federal Disclosures Homeowners Protection Act (HOPA)
Private Mortgage Insurance Disclosure-ME	X						No	ME	Required Ancillary Documents
Prohibited Acts and Practices Disclosure High Cost-RI	X						No	RI	Required Ancillary Documents
Prohibited Acts and Practices Disclosure-RI	X						No	RI	Required Ancillary Documents
Property Tax Benefits-IN				X			No	IN	
Property Transfer Affidavit MI					X		No	MI	Miscellaneous
Public Notice Regarding Foreclosure-HI	X						No	HI	Required Ancillary Documents
Real Estate Certification	X						No	Multistate	Disclosures
Real Estate Transfer Statement-NE				X			No	NE	
Real Property Insurance Escrow Account Disclosure-NY				X			Yes	NY	Required Ancillary Documents
Real Property Recordation and Tax Addendum-DC				X			No	DC	
Real Property Recordation and Tax-DC				X			No	DC	
Receipt Of Documents-TX				X			No	TX	Required Ancillary Documents
Red Flag Notice Warning Disclosure-DC	X						No	DC	
Regulation O Addendum				X			No	Multistate	Regulation O
Release of Assignment of Leases and Rents				X	X		Yes	HI	Security Documents

ComplianceOne® assumptions Document List

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Release of Real Estate Security Instrument				X	X		Yes	NOT CA, CO, ID, MT, NE, OR, UT, WA	
Release of Security Instrument with Evidence of Debt-CO					X		Yes	CO	
Release of Security Instrument without Evidence of Debt-CO 1A					X		Yes	CO	
Release Party				X			No	Multistate	Miscellaneous
Renewal and Extension Rider-TX				X			Yes	TX	Riders
Report and Certification of Loan Disbursement				X			No	Multistate	Required Ancillary Documents
Request For A Certificate Of Eligibility	X						No	Multistate	Verification
Request for Advance				X			No	Multistate	Miscellaneous
Request For Certificate Of Eligibility Attachment	X						No	Multistate	Verification
Request for Copy of Tax Return IRS 4506				X			No	Multistate	
Request for Notice (CA)				X	X		Yes	CA	State
Request for Notice of Default (NC)				X	X		Yes	NC	State
Request for Notice of Default and Election to Sell (LA)				X	X		Yes	LA	State
Request for Notice of Default and Election to Sell (NV)				X	X		Yes	NV	State
Request for Notice of Sale (MO)				X	X		Yes	MO	State
Request for Transcript of Tax Return IRS 4506C IVES	X	X		X			No	Mul	
Request For Transcript of Tax Return IRS 4506T	X	X		X			No	Multistate	
Request for Verification of Dependent Care		X					No	Multistate	
Request for Verification of Dependent Care Supplement		X					No	Multistate	
Request For Verification Of Deposit		X					No	Multistate	Verification
Request for Verification of Deposit Supplement		X					No	Multistate	
Request for Verification of Employment FNMA 1005		X					No	Multistate	
Request For Verification Of Insurance	X						No	Multistate	
Request For Verification of Loan	X	X					No	Multistate	
Request For Verification of Loan Supplement	X	X					No	Multistate	
Request for Verification of Rent or Mortgage		X					No	Multistate	
Request for Verification of Stock Securities		X					No	Multistate	
Residential Credit Application	X			X			No	Multistate	Applications
Residential Loan Fee Agreement-LA	X			X			No	LA	Disclosures and Notices
Revised Closing Disclosure Cover Letter				X	X		No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Revised Loan Estimate Cover Letter	X	X					No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Right To Cancel Private Mortgage Insurance-IL	X						No	IL	
Right to Cancel Private Mortgage Insurance-MN					X		No	MN	
Right to Cancel Private Mortgage Insurance-TX					X		No	TX	
Right to Choose Insurance Provider-WY				X			No	WY	
Right to Cure Cosigner Waiver-WV				X			No	WV	Miscellaneous
Right to Own Attorney Disclosure-ME	X						No	ME	Proprietary
Risk Based Pricing Notice	X	X		X			No	Multistate	Federal Disclosures, FCRA
Risk Based Pricing Notice Alternate for Residential Real Property	X	X		X			No	Multistate	
Risk Based Pricing Notice Credit Score	X	X		X			No	Multistate	Federal Disclosures, FCRA
Risk Based Pricing Notice Credit Score Not Available	X	X		X			No	Multistate	
Risk Based Pricing Notice Not 1 to 4 Real Estate Secured	X	X		X			No	Multistate	
Sale of Insurance Disclosure-DC				X			No	DC	Insurance
Sale of Insurance Disclosure-VA				X			No	VA	Insurance
Security Affidavit for the District of Columbia-DC				X			No	DC	
Seller Notice Of Disposition	X	X				X	No	Multistate	Notices
Service Provider Disclosure-CA	X			X			No	CA	Required Ancillary Documents
Settlement Certification				X			No	Multistate	Required Ancillary Documents
Signature Name Affidavit				X			No	Multistate	
Spousal Consent-WI				X			No	WI	

ComplianceOne® assumptions Document List

Document Name	Package Upfront	Package Processing	Package Pre Closing	Package Closing	Package Post Closing	Package Adverse Action	Recordable	Jurisdiction	Document Category
Spousal Notice-WI - Spouse of				X			No	WI	Disclosures
Standard Flood Hazard Determination		X					No	Multistate	Flood Insurance
Statement of Authority-CO				X			Yes	CO	Other
Statement of Lien-WV				X			No	WV	
Statement of Veteran Assuming GI Loan				X			No	Multistate	Required Ancillary Documents
Subordination Agreement Real Estate Lien				X			Yes	Multistate	
Tax Escrow Account Receiving Tax Bills NY				X			No	NY	
Tax Escrow Option Election-WI				X			No	WI	Required Ancillary Documents
Tax Escrow Option Notice-WI	X						No	WI	Required Ancillary Documents
Tax Information Authorization	X						No	Multistate	
Telephone, Text and Email Communications Acknowledgment	X	X		X			No	Multistate	
Temporary Authority to Operate Disclosure-GA	X						No	GA	Disclosures
Termination of Right to Rescind-DC	X						No	DC	Disclosures
Title Guaranty Affidavit-IA	X	X		X			No	IA	
Title Guaranty Affidavit Buyer-IA	X	X		X			No	IA	
Title Guaranty Application-IA	X	X		X	X		No	IA	
Title Insurance Disclosure-IL	X						No	IL	
Title Insurance Disclosure-RI	X						No	RI	Required Ancillary Documents
Title Insurance Disclosures	X						No	Multistate	Insurance
Title Insurance Identification Rider-LA				X			Yes	LA	Security Documents
Title Insurance Notice-CA				X			No	CA	
Transfer of Lien-TX				X	X		Yes	TX	Security Documents
Trustee Acknowledgment				X			No	Multistate	
UCC Agreement Regarding Owner Name Individual				X			No	Multistate	UCC Documents
UCC Financing Statement Filing Authorization		X		X	X		No	Multistate	UCC Documents
UCC Financing Statement IACA 2013				X	X		No	IL	UCC Documents
UCC Financing Statement IACA 2013 DBA-SD				X	X		No	SD	UCC Documents
Uniform Cancellation Affidavit				X	X		Yes	LA	Shared
Uniform Residential Loan Application	X	X		X			No	Multistate	Applications
Uniform Residential Loan Application - Lender Loan Information	X	X		X			No	Multistate	Applications
Uniform Underwriting and Transmittal Summary		X					No	Multistate	Disclosures
Uniform Underwriting and Transmittal Summary Continuation Page		X					No	Multistate	Disclosures
VA 261802A Addendum to Uniform Residential Loan Application 816	X			X			No	Multistate	Applications
Veterans Affairs Escape Clause	X						No	Multistate	
VA Form 26_0503 Federal Collection Policy Notice	X						No	Multistate	Notices
Variable Rate Disclosure Closed End-NY	X			X			No	NY	Required Ancillary Documents
Verbal Verification of Employment		X					No	Multistate	
Verification of Credit Application Due to Fraud Alert	X						No	Multistate	Miscellaneous
Verification of VA Benefit VA 26_8937		X					No	Multistate	Verification
Veterans Affairs Application for Assumption Approval	X	X		X			No	Multistate	Required Ancillary Documents
Veterans Affairs Counseling Checklist	X						No	Multistate	Required Ancillary Documents
Veterans Affairs Debt Questionnaire	X						No	Multistate	Required Ancillary Documents
Veterans Affairs Lender Certifications				X			No	Multistate	Required Ancillary Documents
Veterans Affairs Loan Analysis		X					No	Multistate	Creditworthiness
Veterans Affairs Loan Analysis Overflow		X					No	Multistate	Creditworthiness
Veterans Affairs Loan Summary Sheet				X			No	Multistate	Required Ancillary Documents
Veterans Affairs Natural Disaster Certification				X			No	Multistate	Required Ancillary Documents
Veterans Affairs Notice of Action Taken	X	X				X	No	Multistate	Disclosures and Notices
Veterans Affairs Property Acknowledgment		X					No	Multistate	Real Estate Related
Veterans Affairs Request for Determination of Eligibility for Surviving Spouse	X						No	Multistate	Required Ancillary Documents

ComplianceOne® assumptions Document List

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Veterans Affairs Statement of Purchaser or Owner Assuming Loan				X			No	Multistate	Required Ancillary Documents
Voluntary Information for Government Monitoring Purposes	X						No	CA	
Waiver of Appraisal Rights Notice-SC	X						No	SC	Shared - State
Waiver of Appraisal Rights-SC				X			No	SC	Shared - State
Waiver of Class Action Rights-UT				X			No	UT	Required Ancillary Documents
Waiver of Homestead Exemption				X			Yes	AL	
Withholding Tax Requirement Notice-ME				X			No	ME	
Written List of Providers	X						No	Multistate	Federal Disclosures - Truth in Lending (Regulation Z)
Your Home Loan Toolkit	X						No	Multistate	
Your Home Loan Toolkit Spanish	X						No	Multistate	